## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Jeffrey Lutze et al.

Title:

Self Aligned Non-volatile Memory Cell and Process for Fabrication

Application No.:

10/600,259

Filing Date:

June 20, 2003

Examiner:

Not yet assigned

Group Art Unit:

2818

Docket No.:

SNDK.310US0

Conf. No.:

7482

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) call(s) the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application.

Copies of the documents listed on the accompanying Form PTO-1449 are enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any cited document), or (3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(b) and consequently no fee should be required. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664. This form is being submitted in duplicate.

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope address to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 30, 2004

Franklin Dye

Respectfully submitted,

Gerald P. Parsons

Reg. No. 24,486

Date

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Sheet 1 of 1

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		U.S. P	atent Documents				
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing D If Approp	
	6,455,440	Sep. 24, 2002	Jeng Pei-Ren				
	6,562,682	May 13, 2003	Chen Ming-Shang et al.		-		
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		J.S. Published Pa	tent Application Docum	ents			
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	2001/015454	Aug. 23, 2001	Lee Seong-Soo et al.				
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		Foreign	Patent Documents			T	
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	Document	Date	Country	Class	Subclass	Yes	No
	DE 196 17 632	July 3, 1997	Germany		•	Abstract	
·	OTHER AR	T (Including Au	thor, Title, Date, Pertine	ent Pages, E	ctc.)		
		CT/US2004/01854	International Search Repo				СТ
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citation if not in co	onformance and not cons	idered. Include co	opy of this form with you	r communic	ation to applica	ant.	